

**VARTA JOINT STOCK COMPANY  
Ellwangen (Jagst)**

ISIN DE000A0TGJ55  
security identification number A0TGJ5

**Invitation to the Annual General Meeting**

We hereby invite the shareholders of our company  
to  
**The annual general meeting on  
Tuesday, May 21, 2019  
11 am**

At Stadthalle Ellwangen, Haller Straße 7, 73479 Ellwangen (Jagst),

**I. Agenda**

- 1. Presentation of the formally accepted annual financial statements and the approved consolidated financial statements for the fiscal year 2018 with the combined management report for VARTA AKTIENGESELLSCHAFT and the VARTA Group, the report of the Supervisory Board and the explanatory report of the Executive Board on the disclosures in accordance with Art. 289a Para. 1, 315a Para. 1 of the HGB (German Commercial Code)**

The Supervisory Board approved the annual financial statements and the consolidated financial statements prepared by the Management Board on 26 March 2019. Thus, the annual financial statements have been determined in accordance with Art.172 of the Stock Corporation Act. Therefore, agenda item 1 does not require a resolution by the Annual General Meeting. The documents relating to agenda item 1 will be available on the Company's website at <https://www.varta-ag.com/hauptversammlung/> from the day on which the Annual General Meeting is convened. They will also be available for inspection during the Annual General Meeting and will be explained in more detail.

- 2. Resolution on the appropriation of the balance sheet profit**

The Management Board and the Supervisory Board propose that the balance sheet profit of VARTA AKTIENGESELLSCHAFT for the financial year 2018 amounting to EUR 28,133,738.22 shall be appropriated as follows:

- Balance brought forward to the new account: EUR 28,133,738.22

- 3. Resolution on the discharge of the Management Board for the financial year 2018**

The Management Board and the Supervisory Board propose that the members of the Management Board be granted discharge for the financial year 2018.

- 4. Resolution on the discharge of the Supervisory Board for the financial year 2018**

The Management Board and the Supervisory Board propose that the members of the Supervisory Board be granted discharge for the financial year 2018.

## **5. Resolution on the appointment of the auditor of the annual financial statements and the auditor of the consolidated financial statements for the financial year 2019 and of the auditor for the review of the half-year financial report**

The Supervisory Board proposes, on the basis of a correspondingly justified recommendation of the Audit Committee, that KPMG AG Wirtschaftsprüfungsgesellschaft, Stuttgart, be appointed as auditor of the annual financial statements of VARTA AKTIENGESELLSCHAFT and the consolidated financial statements for the fiscal year 2019 as well as for the review of the abridged financial statements and interim management report of the half-year financial report for the fiscal year 2019, insofar as it is subjected to such a review.

Before submitting its recommendation to the Supervisory Board, the Audit Committee obtained the recommended declaration by KPMG AG Wirtschaftsprüfungsgesellschaft on its independence and on the extent to which other services were rendered to the Company in the fiscal year 2018 or have been contractually agreed for the fiscal year 2019.

## **6. A by-election to the Supervisory Board**

Pursuant to Art. 96 (1) last alternative, 101 (1) Stock Corporation Act, the Supervisory Board of the Company is composed exclusively of members to be elected by the Annual General Meeting and consists of six members pursuant to Art. 95 sentences 2 Stock Corporation Act and Art. 9 (1) of the Articles of Association.

The Supervisory Board member Dr Franz Guggenberger, who was elected to the Supervisory Board by the Annual General Meeting for a term of office until the end of the Annual General Meeting that resolves on the formal approval of the actions of the Supervisory Board for the fiscal year 2020, resigned from office with effect from the end of the Annual General Meeting on May 21, 2019. A successor must, therefore, be elected for the retiring member of the Supervisory Board.

The shareholder VGG GmbH, which holds more than 25% of the voting rights in the company, has proposed in accordance with Art. 100 (2) sentence 1 no. 4 Stock Corporation Act to elect Dr Michael Pistauer, who resigned from the Managing Board of VARTA AKTIENGESELLSCHAFT as of December 31, 2018, to the Supervisory Board as shareholder representative. The Supervisory Board has adopted this shareholder election proposal and subsequently proposes Dr Michael Pistauer for the by-election to the Supervisory Board for the remaining term of office of the resigned member.

The Supervisory Board proposes,

to elect as shareholder representative to the Supervisory Board Dr Michael Pistauer, residing in Vienna, Austria, entrepreneur and managing director of PI Beteiligungs- und Unternehmensberatungsgesellschaft mbH and former management board member of VARTA AKTIENGESELLSCHAFT, for a term of office until the end of the Annual General Meeting resolving on the discharge for the business year 2020.

The Supervisory Board is assured that the proposed candidate will be able to afford the expected time. Dr Pistauer does not intend to be a candidate for the chairmanship of the Supervisory Board in the event of his election.

Supplementary information on agenda item 6 can be found below under II.

## II. Supplementary information on the by-election to the Supervisory Board (agenda item 6)

### 1. Curriculum vitae and particulars are pursuant to Art. 125(1) sentence 5 Stock Corporation Act

The curriculum vitae of the candidate proposed for the by-election to the Supervisory Board is reproduced below. At the same time, this contains the information pursuant to Art. 125 (1) sentence 5 Stock Corporation Act on memberships in statutory supervisory boards of other German companies and comparable supervisory bodies of other German and foreign companies.

**Name:** Dr Michael Pistauer

**Occupations held:** Entrepreneur and Managing Director of PI Beteiligungs- und Unternehmensberatungsgesellschaft mbH and former member of the Management Board of VARTA AKTIENGESELLSCHAFT

**Place of residence:** Vienna, Austria

**Year of birth:** 1969

**Nationality:** Austrian

#### Professional background:

Currently	Entrepreneur and Managing Director and shareholder of PI Beteiligungs- und Unternehmensberatungsgesellschaft mbH and other companies
2016 bis 2018	Chief Financial Officer of VARTA AKTIENGESELLSCHAFT
seit 2014	Managing Director of Industrie Capital Eins GmbH
2013 - 2014	Member of the Management Board of Mezzanin Finanzierungs AG
2012 - 2016	Member of the Management Board of EK Mittelstandsfinanzierungs AG
2007 - 2016	Management and administration of various companies
2005 - 2007	Member of the Management Board of INKU AG
seit 2004	Formation of numerous companies including PI Beteiligungs- und Unternehmensberatungsgesellschaft mbH, SNews GmbH and others
2002 - 2004	Finanzvorstand der Jowood AG
1997 - 2002	Employment at Arthur Andersen and later Managing Director of Arthur Andersen Corporate Finance GmbH
1992 - 1997	Member of the Executive Board of the DGM (German Association for SME Advice), a company of the Deutsche Bank Group

#### Education:

1997	Doctorate (Dr rer. Soc. Oec.)
1987 - 1992	Studied Business Administration at the Vienna University of Economics and Business Administration

#### Membership in other statutory supervisory boards:

None

### **Membership in comparable domestic or foreign supervisory bodies of commercial enterprises:**

CONNEXIO alternative investment & holding AG\*, Austria (member of the Supervisory Board)  
abatec group AG\*, Austria (member of the Supervisory Board)  
Montana Tech Components AG\*, Switzerland (member of the Advisory Board)  
Alu Menziken Extrusion AG\*, Switzerland (Non-executive member of the Board of Directors)  
VARTA Microbattery GmbH\*, Deutschland (member of the Supervisory Board)\*\*  
Aluflexpack Novi d.o.o.\*, Kroatien (member of the Supervisory Board)

\* unlisted

\*\*Group company of VARTA AKTIENGESELLSCHAFT

### **Other significant secondary businesses:**

SNews GmbH\*, Austria (Managing Director)  
Communications Laboratories Telekommunikations Dienstleistungs GmbH\*, Austria (Managing Director)  
IndustrieCapital Alpha GmbH\*, Austria (Managing Director)  
IndustrieCapital Eins GmbH\*, Austria (Managing Director)  
Mezzanin Finanzierungs GmbH\*, Austria (Managing Director)  
Virtus Vier Beteiligungs GmbH\*, Austria (Managing Director)  
Global Equity Partners Beteiligungs-Management Deutschland GmbH\*, Germany (Managing Director)  
VRT Pensionen GmbH\*, Germany (Managing Director)  
Corsus Immobilien GmbH\*, Austria (Managing Director)  
Saltus Immobilien GmbH\*, Austria (Managing Director)

\* not listed

## **2. Details pursuant to Clause 5.4.1 (6) to (8) of the German Corporate Governance Code**

Dr Pistauer was a member of the Management Board (CFO) of VARTA AKTI-ENGESELLSCHAFT between 2016 and 2018. He is a member of the advisory board of Montana Tech Components AG, which currently indirectly holds around 64.22% of the voting rights in the company and is thus a shareholder with a significant interest in the company. The Chairman of the Supervisory Board of VARTA AKTIENGESELLSCHAFT, Dr Dr Michael Tojner, is Chairman of the Management Board (CEO) and majority owner of Montana Tech Components AG. Dr Pistauer is also a member of the Supervisory Board of VARTA Microbattery GmbH, a subsidiary of the company, and a member of the Executive Board of two VARTA pension associations.

In the opinion of the Supervisory Board, there are no other personal or business relationships between the candidate proposed for by-election to the Supervisory Board and the company, the executive bodies of VARTA AKTIENGESELL-SCHAFT and a shareholder with a significant interest in the company, the disclosure thereof being recommended by Clause 5.4.1 (6) to (8) of the German Corporate Governance Code in the version dated February 7, 2017.

## **III. Further details and information on the Annual General Meeting**

### **Total number of shares and voting rights**

At the time the Annual General Meeting is convened, the Company's share capital amounts to EUR 38,200,000.00 and is divided into 38,200,000 common shares (no-par value shares) with the same number of voting rights. The Company does not hold any treasury shares at the time the Annual General Meeting is convened. The total number of shares and voting rights at the time of convening, therefore, amounts to 38,200,000.

### **Documents and information on the Annual General Meeting**

This invitation to the Annual General Meeting and the documents and information to be made available to the Annual General Meeting in connection with the Annual General Meeting are available on the Company's website at <https://www.varta-ag.com/hauptversammlung/> from the date on which the Annual General Meeting is convened. Further explanations on the rights of shareholders pursuant to

Articles 122 (2), 126 (1), 127, 131 (1) of the German Stock Corporation Act can also be found on this website. All documents to be made available to the Annual General Meeting are also available at the Annual General Meeting.

### **Requirements for attending the Annual General Meeting and exercising voting rights**

The conditions for attending the Annual General Meeting and exercising voting rights are based on Articles 121 et seq. of the German Stock Corporation Act and Art. 17 of the Company's Articles of Association. Shareholders are entitled to participate in the Annual General Meeting and exercise their voting rights if they register in good time prior to the Annual General Meeting in accordance with the following provisions and provide evidence of their entitlement.

The evidence of share ownership must refer to the beginning of the 21st day prior to the Annual General Meeting (record date), i.e. Tuesday, **April 30, 2019**, 00:00 o'clock. A special proof of share ownership issued in text form in German or English by the custodian bank is sufficient proof of entitlement.

The registration must be made in text form in German or English. Registration and proof of entitlement must be received by the Company at the latest by **Tuesday, May 14, 2019**, 24:00 o'clock, at the following address by post, fax or e-mail:

VARTA AG  
c/o Better Orange IR & HV AG  
Haidelweg 48  
81241 Munich  
Germany  
Fax: +49 (0)89 889 690 633  
E-Mail: anmeldung@better-orange.de

Upon receipt of the registration and the special proof of shareholding, admission tickets for participation in the Annual General Meeting will be sent to the shareholders.

### **Bedeutung des Nachweisstichtags Importance of the proof record date**

In relation to the Company, only shareholders who have provided special proof of their shareholding in good time shall be deemed to be shareholders for the purpose of attending the Annual General Meeting and exercising their voting rights. The entitlement to attend the Annual General Meeting and the scope of voting rights are based on the shareholder's shareholding as of the record date. The record date does not constitute a blocking period for the disposal of the shares; in particular, these may be acquired and sold independently of the record date.

Even in the event of a complete or partial sale of the shares after the record date, only the shareholding of the shareholder on the record date is decisive for participation and the scope of voting rights, i.e. sales of shares after the record date do not affect the right to participate and the scope of voting rights. The same applies to share purchases after the record date. Persons who do not hold any shares as of the record date and become shareholders only thereafter are not entitled to attend and vote at the Annual General Meeting on May 21, 2019, unless they have been authorised to do so by the previous owners of the shares or have been authorised to exercise their rights. The record date has no significance for dividend entitlement.

## **Voting by proxy**

Shareholders who do not wish to attend the Annual General Meeting in person may also have their voting rights exercised by a proxy, e.g. a bank, a shareholders' association or another person of their choice. Auch in diesen Fällen sind eine fristgerechte Anmeldung zur Hauptversammlung und ein Nachweis des Anteilsbesitzes nach den vorstehenden Bestimmungen erforderlich.

The granting of a power of attorney, its revocation and the proof of a power of attorney vis-à-vis the company require text form if neither a bank nor a shareholders' association nor a person of equal standing pursuant to Article 135 (8) and (10) of the German Stock Corporation Act is authorised. When authorising banks in accordance with Articles 135 (10) and 125 (5) of the German Stock Corporation Act, institutions or companies, shareholders' associations or persons treated as equivalent to banks in accordance with Article 135 (8) of the German Stock Corporation Act to whom the provisions of Article 135 (1) to (7) of the German Stock Corporation Act apply mutatis mutandis, special features must as a rule be taken into account which must be enquired about from the person to be authorised in each case.

A power of attorney may be declared to the proxy or to the Company. Proof of a power of attorney granted to the proxy can be furnished by the proxy presenting a power of attorney at the entrance control on the day of the Annual General Meeting. The Company offers the following address for the transmission of proof of proxy by post, fax or electronic means (e-mail):

VARTA AG  
c/o Better Orange IR & HV AG  
Haidelweg 48  
81241 Munich  
Germany  
Fax: +49 (0)89 889 690 655  
E-Mail: varta@better-orange.de

The above communication channels are also available if a power of attorney is to be granted by declaration to the Company; a separate proof of the granting of a power of attorney is superfluous in this case. The revocation of a power of attorney already granted may also be declared directly to the Company by the aforementioned means of transmission.

A form that can be used to grant power of attorney can be found on the back of the admission ticket, which will be sent to shareholders after the timely registration described above, and is available for download at <https://www.varta-ag.com/hauptversammlung/>. If a shareholder authorises more than one person, the Company may reject one or more of them.

## **Voting by a proxy nominated by the Company**

We offer our shareholders the opportunity to authorise a proxy nominated by the Company to exercise their voting rights prior to the Annual General Meeting. Shareholders who wish to grant power of attorney to the proxy nominated by the Company must register for the Annual General Meeting in due time and provide evidence of their shareholding in accordance with the above provisions. The proxy nominated by the Company shall exercise the voting right only in accordance with instructions in the event of his authorisation. Without instructions from the shareholder, the proxy nominated by the Company is not authorised to exercise voting rights. A form for granting power of attorney and instructions to the proxy nominated by the Company will be enclosed with each admission ticket. This is also available for download at <https://www.varta-ag.com/hauptversammlung/>. Powers of attorney and instructions to the proxy nominated by the Company must also be sent to the Company in text form.

Shareholders who wish to authorise the proxy nominated by the Company prior to the Annual General Meeting must send the authorisations together with instructions no later than Monday, 20 May 20, 2019, 24:00 o'clock, (receipt) by post, fax or e-mail to the following address:

VARTA AG  
c/o Better Orange IR & HV AG  
Haidelweg 48  
81241 Munich  
Germany  
Fax: +49 (0)89 889 690 655  
E-Mail: varta@better-orange.de

Powers of attorney and instructions may also be issued to the proxy nominated by the Company during the Annual General Meeting. Further information on proxy voting will be sent to shareholders together with the admission card. Corresponding information can also be viewed on the Internet at <https://www.varta-ag.com/hauptversammlung/>.

**Shareholders' rights pursuant to Articles 122 (2), 126 (1), 127 and 131 (1) of the German Stock Corporation Act**

**Requests for Supplements (Art. 122 (2). 2 German Stock Corporation Act)**

Shareholders whose combined shares amount to one-twentieth of the share capital or a proportionate amount of EUR 500,000.00 may, pursuant to Article 122 (2) of the German Stock Corporation Act, request that items be placed on the agenda and published (publishable amendments). Each new item on the agenda must be accompanied by a statement of reasons or a resolution submission.

The request must be addressed in writing to the Management Board and must be received by the Company at least 30 days prior to the meeting; the day of receipt and the day of the Annual General Meeting shall not be included in this calculation. The last possible date of receipt is, therefore, Saturday, **April 20, 2019**, 24:00 o'clock. Requests for supplements received later will not be taken into account.

Requests for supplements must be sent to the following address:

VARTA AG  
– Management Board –  
Att. Ms Julia Weber  
Daimlerstr. 1  
73479 Ellwangen  
Germany

In all other respects, the requirements of § 122 (2) in conjunction with Art. Section 1 sentences 3 and 4 of the German Stock Corporation Act and Article 70 of the German Stock Corporation Act.

Publishable Amendments to the agenda - insofar as they are not already published with the convening notice - will be published in the Federal Gazette immediately upon receipt of the request and forwarded for publication to those media that can be assumed to disseminate the information throughout the European Union. They will also be published on the Company's website at <https://www.varta-ag.com/hauptversammlung/>.

**Countermotions and election proposals (Articles 126 (1), 127 of the German Stock Corporation Act)**

Each shareholder is entitled to submit to the Company countermotions to a proposal by the Management Board and/or the Supervisory Board regarding a specific item on the agenda as well as proposals for the election of Supervisory Board members or auditors.

Countermotions and election proposals pursuant to Articles 126 (1) and 127 of the German Stock Corporation Act must be sent exclusively to the following address prior to the Annual General Meeting:

VARTA AG  
c/o Better Orange IR & HV AG  
Haidelweg 48  
81241 Munich  
Germany  
Fax: +49 (0)89 889 690 655  
E-Mail: varta@better-orange.de



The Company will submit counter motions pursuant to Art. 126(1) of the German Stock Corporation Act and election proposals pursuant to Art. 127 of the German Stock Corporation Act, including the name of the shareholder, any statement of reasons and any comments by the management on the Company's website at [https://www.varta-ag.com/annual general meeting/](https://www.varta-ag.com/annual-general-meeting/) if the Company has received a permissible counter motion against a proposal of the Executive Board and/or the Supervisory Board regarding a specific item on the agenda with a possible justification or a proposal for the election of Supervisory Board members or auditors at least 14 days prior to the meeting (not including the day of the General Meeting and the day of receipt), i.e. no later than Monday, **May 6, 2019**, 24:00 o'clock, at the above address; counter motions and election proposals addressed to any other address will not be considered.

Shareholders are requested to provide proof of their shareholder status at the time the counter motion or election proposal is sent.

The Company may refrain from publishing a counter motion under the conditions specified in Art. 126(2) of the German Stock Corporation Act, for example, because the counter motion would lead to a resolution of the Annual General Meeting that would be contrary to the law or the Articles of Association. Any statement of reasons for a counter motion need not be made available if it contains more than 5,000 characters in total. For proposals by shareholders for the election of Supervisory Board members or auditors, the above sentences shall apply mutatis mutandis pursuant to Art. 127 of the German Stock Corporation Act. Except in the cases specified in Art. 126(2) of the German Stock Corporation Act (AktG), shareholders' proposals for election may not be published if the proposal does not include the candidate's name, profession and place of residence. Proposals for the election of Supervisory Board members do not have to be published either if the proposal does not contain any information on their membership in other statutory supervisory boards.

Please note that counter motions and election proposals, even if they have been submitted to the Company in advance and in due time, will only be considered at the Annual General Meeting if they are presented and/or submitted orally. The right of every shareholder to submit counter motions or election proposals on the various items on the agenda during the Annual General Meeting remains unaffected.

### **Shareholder's right to information (Art. 131 (1) of the German Stock Corporation Act)**

Pursuant to Art. 131(1) of the German Stock Corporation Act, every shareholder shall be provided upon request with information on the Company's affairs at the Annual General Meeting by the Executive Board to the extent that such information is necessary for a proper assessment of the item on the agenda.

The duty of the Management Board to provide information also extends to the Company's legal and business relationships with an affiliated company as well as to the situation of the Group and the companies included in the consolidated financial statements (cf. Art. 131 (1) sentence 2 and sentence 4 of the German Stock Corporation Act).

Requests for information must generally be made orally at the Annual General Meeting during the discussion. For the reasons stated in Art. 131(3) sentence 1 of the German Stock Corporation Act, the Executive Board, may refuse to provide information. Pursuant to Art. 18 (3) of the Articles of Association, the chairman of the meeting may reasonably limit the time allowed for shareholders to ask questions and speak. In particular, he may set a reasonable time limit for the entire course of the Annual General Meeting, for the discussion of individual agenda items and for individual questions and speeches at the beginning or during the Annual General Meeting.

Ellwangen, April 2019

VARTA AKTIENGESELLSCHAFT  
The Management Board



## VARTA AKTIENGESELLSCHAFT

### Information for shareholders and their proxies on data protection with regard to data collection for the purposes of the Annual General Meeting

In connection with the Annual General Meeting on May 21, 2019, the Company processes personal data (in particular name, date of birth, address and other contact data of the shareholder, number of shares, type of ownership of the share, if applicable name and address of the shareholder proxy authorised by the respective shareholder) on the basis of the applicable data protection provisions, in particular Regulation (EU) 2016/679 (General Data Protection Regulation – “GDPR”), the German Stock Corporation Act (“AktG”) and all other relevant legal provisions in its capacity as the responsible for processing personal data within the meaning of data protection law.

The Company processes data provided by the shareholders as part of their registration for the Annual General Meeting or transmitted to the Company by their custodian banks on behalf of the shareholders for this purpose.

Pursuant to Art. 135(5) sentence 2 of the German Stock Corporation Act, a shareholder is allowed to authorise a credit institution or equivalent shareholder associations pursuant to Art. 135(8) of the German Stock Corporation Act, or persons who make a businesslike offer to shareholders to exercise their voting rights at the Annual General Meeting, to be proxy at the Annual General Meeting and to exercise the voting right on behalf of the person to whom they relate.

In this case, only the personal data of the proxy will be processed. The responsible for data processing

The company as the person responsible in the sense of the data protection regulations can be reached at the following address:

VARTA AKTIENGESELLSCHAFT  
Att. Ms Julia Weber  
Daimlerstr. 1  
73479 Ellwangen  
Germany  
E-Mail: [Julia.Weber@varta-ag.com](mailto:Julia.Weber@varta-ag.com)

#### **Purposes and legal basis of the processing**

The processing of personal data in connection with the Annual General Meeting on May 21, 2019, is carried out for the purpose of processing the registration and participation of shareholders in the Annual General Meeting (e.g. examination of the right to attend) and to enable shareholders to exercise their rights within the framework of the Annual General Meeting (including granting and revoking proxies). If the Annual General Meeting is attended by a proxy, we process the shareholder's personal data stated in a power of attorney as well as the first and last name and place of residence or address of the proxy. If power of attorney and instructions are issued to a proxy nominated by the Company, the instructions issued are also processed, and the declaration of power of attorney is recorded by the Company for a period of three years so that it can undergo verification.

If a shareholder requests that items be added to the agenda, the Company will publish these items, stating the name of the shareholder, if the prerequisites are met in accordance with the provisions of the German Stock Corporation Act. The Company will also publish countermotions and nominations for election by shareholders on the Internet, stating the name of the shareholder, if the prerequisites in accordance with the provisions of the German Stock Corporation Act are met. (see in detail also the explanation of Articles 122 para. 2, 126 par. 1, 127 of the German Stock Corporation Act in the invitation to the Annual General Meeting).

If you attend the Annual General Meeting, we are obliged pursuant to Art. 129 Para. 1 Sentence 2 of the German Stock Corporation Act to enter you in the list of participants, stating the number of the admission ticket, first and last name, place of residence, number of shares, type of share, number of voting rights and type of ownership. These data may be accessed by other shareholders and participants of the General Meeting during the General Meeting and by shareholders up to two years thereafter ( Art. 129(4) of the German Stock Corporation Act).

The legal basis for the processing of your personal data is Art. 6 par. 1 lit. c) GDPR in conjunction with Art. 118 seq of the German Stock Corporation Act.

The processing of personal data is mandatory for the proper preparation, conduct and follow-up of the Annual General Meeting. Without the provision of the relevant data, your participation in the Annual General Meeting and the exercise of voting rights and other rights related to the Annual General Meeting is not possible.

In addition, your personal data may also be processed to fulfil other legal obligations, such as regulatory requirements and obligations under stock corporation, commercial and tax law obligations to preserve records. The legal basis for the processing are the respective legal regulations in connection with Art. 6 par. 1 sentence 1 lit. c) GDPR.

We do not use purely automated decision-making procedures pursuant to Article 22 GDPR or profiling.

### **Categories of recipients of personal data**

External service providers: Company service providers commissioned for the purpose of carrying out the General Meeting receive from the Company only such personal data as are necessary for the performance of the commissioned service and process the data exclusively in accordance with the instructions of the Company.

Shareholders/Third parties: Within the scope of the statutory right to review the list of participants of the Annual General Meeting, shareholders may request access to the data recorded in the list of participants up to two years after the Annual General Meeting. The list of participants will also be made available to all participants at the Annual General Meeting. Your personal data will also be published in accordance with the statutory provisions within the scope of agenda supplements, counterproposals or election proposals requiring publication.

Other recipients: Within the framework of statutory regulations, we may be obliged to transfer your personal data to other recipients, such as authorities and courts.

The transfer of personal data to a recipient in a third country is not intended.

### **Period of storage of personal data**

In principle, we delete your personal data as soon as and to the extent that it is no longer required for the purposes stated herein, unless we are obliged by law to provide evidence and/or retain it (in accordance with the German Stock Corporation Act, the German Commercial Code, the German Tax Code or other legal provisions). For the data collected in connection with general meetings, the storage period (subject to special legal requirements) is regularly up to three years, unless further processing is necessary in individual cases in connection with claims asserted against or by VARTA AKTIENGESELLSCHAFT (statutory limitation period of up to 30 years).

### **Your rights according to the data protection law**

Shareholders and shareholder representatives may request information from the Company at the above address regarding their personal data (Art. 15 GDPR), the correction of their personal data (Art. 16 GDPR), the deletion of their personal data (Art. 17 GDPR) and the restriction of the processing of their personal data (Art. 18 GDPR). A request for deletion or restriction of the processing can, however, be opposed by the legal obligations of the Company.

In the event of complaints regarding the processing of your personal data, shareholders and shareholder representatives may contact the Company's data protection officer:

VARTA AKTIENGESELLSCHAFT  
Ms Roswitha Wahler  
Daimlerstr. 1  
73479 Ellwangen  
Germany  
E-Mail: [roswitha.wahler@varta-microbattery.com](mailto:roswitha.wahler@varta-microbattery.com)

Irrespective of this, shareholders and shareholder representatives may contact a data protection supervisory authority. The data protection supervisory authority responsible for the company is:

The State Commissioner for Data Protection and Freedom of Information of Baden-Württemberg

P.O. Box 10 29 32  
70025 Stuttgart  
Königstraße 10a, 70173 Stuttgart  
Phone.: +49 (0)711/61 55 41 – 0  
Fax: +49 (0)711/61 55 41 – 15  
E-Mail: [poststelle@lfdi.bwl.de](mailto:poststelle@lfdi.bwl.de)

Further information for shareholders on data protection is available on the Company's website at <https://www.varta-ag.com/investor-relations/datenschutz/> .

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